JAP6 Rec'd PCT/PTO 22 SEP 2006

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PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021

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### TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER 720734.00007 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP2005/001398 11 Feb 2005 (11.02.2005) 24 Mar 2004 (24.03.2004) TITLE OF INVENTION Method and Device for Forming Stacks APPLICANT(S) FOR DO/EO/US Heribert ORTH Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 3 (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) is submitted herewith. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## IAP16 Rec'd PCT/PTO 22 SEP 2006

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| U.S. APPLICATION NO. (if known, see, 27 CFR 1.5)  |              | INTERNATIONAL APPLICATION NO. |                   | ATTORNEY'S DOCKET NUMBER |                        |              |  |
|---|--------------|-------------------------------|-------------------|--------------------------|------------------------|--------------|--|
|   |              | PCT/EP2005/001398             |                   |                          | 720734.00007           |              |  |
| 20. Other items or information: Return receipt postcard   |              |                               |                   |                          |                        |              |  |
| The following fees have been submitted  |              |                               |                   |                          | CALCULATIONS           | PTO USE ONLY |  |
| , 21.   |              |                               |                   |                          | \$ 300                 |              |  |
| 22. Examination fee (37 CFR 1.492(c   |              |                               |                   |                          |                        |              |  |
| If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  All other situations\$200  |              |                               |                   |                          | \$<br>200              |              |  |
| 23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority |              |                               |                   |                          | \$ 400                 |              |  |
| TOTAL OF 21, 22 and 23 =  |              |                               |                   | 900                      |                        |              |  |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  |              |                               |                   |                          |                        |              |  |
|   |              |                               |                   |                          |                        |              |  |
| - 100 = /50 =   | /50 = x \$   |                               |                   |                          | \$                     | 1            |  |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).   |              |                               |                   |                          | \$                     |              |  |
| CLAIMS NUMBER FILED   | NUMBER FILED |                               | NUMBER EXTRA RATE |                          | \$                     |              |  |
| Total claims 19 -2  | 0 =          | 0                             | x \$ 50           |                          | \$                     |              |  |
|   | 3 =          | 0                             | x \$200           |                          | \$                     |              |  |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360   |              |                               |                   |                          | \$                     |              |  |
| TOTAL OF ABOVE CALCULATIONS =   |              |                               |                   |                          | \$ 900                 |              |  |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.   |              |                               |                   |                          |                        |              |  |
| SUBTOTAL =  |              |                               |                   |                          | \$                     |              |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).   |              |                               |                   |                          | \$                     |              |  |
| TOTAL NATIONAL FEE =  |              |                               |                   |                          | \$                     |              |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +  |              |                               |                   |                          | \$                     |              |  |
| TOTAL FEES ENCLOSED = \$ 90   |              |                               |                   |                          | \$ 900                 |              |  |
|   |              |                               |                   |                          | Amount to be refunded: | \$           |  |
|   |              |                               |                   |                          | Amount to be charged   | \$           |  |

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| a. A check in the amount of \$   | to cover the above fees is enclosed.  |  |  |  |  |  |
|--|---|--|--|--|--|--|
| b. Please charge my Deposit Account No. 17-0055 A duplicate copy of this sheet is enclosed.  | in the amount of \$ 900 to cover the above fees.  |  |  |  |  |  |
| c. The Commissioner is hereby authorized to charge any Account No. <u>17-0055</u> . A duplicate copy of this sl  | y additional fees which may be required, or credit any overpayment to Deposit heet is enclosed. |  |  |  |  |  |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card Information should not be Included on this form. Provide credit card information and authorization on PTO-2038. |   |  |  |  |  |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.               |   |  |  |  |  |  |
|  |   |  |  |  |  |  |
| SEND ALL CORRESPONDENCE TO:  Customer No. 26710 QUARLES & BRADY LLP 411 E. Wisconsin Ave. Milwaukee, WI 53202 (414) 277-5000 (414) 271-3552 (Fax)  | SIGNATURE Michael J. McGovern NAME 28,326 REGISTRATION NUMBER                                   |  |  |  |  |  |
|  |   |  |  |  |  |  |
|  |   |  |  |  |  |  |

#### **Dr.-Ing. Wolfgang Sturz**

Staatlich geprüfter, öffentlich bestellter und beeidigter Verhandlungsdolmetscher und Urkundenübersetzer



In the matter of International Patent Application No. PCT/EP2005/001398 Optima Filling and Packaging Machines GmbH Germany

### TRANSLATOR'S CERTIFICATE

I, Dr. Wolfgang Sturz, certified, court appointed and sworn translator for the English language hereby certify that the attached translation is, to the best of my knowledge and belief, a true translation of International Patent Application No. PCT/EP2005/001398.

Signed:

Dated: Reutlinger ALLOWS 11, 2006